



ATTORNEY DOCKET NO.:
073897.0130

PATENT APPLICATION

1

TRANSMITTAL FOR U.S. PATENT APPLICATION
UNDER 37 CFR §1.53(b)



Mail Stop: Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Attorney Docket No.: 073897.0130
Express Mail. No. EV 323448926 US

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Puneet (nmi) Bhatia

For: **SYSTEM AND METHOD FOR MANAGING FULFILLMENT DATA
ASSOCIATED WITH A FULFILLMENT SYSTEM**

Pursuant to 37 C.F.R. § 1.213(a), Applicant respectfully requests that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicant hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- (1) Specification, including Claims and Abstract (42 pages);
- (2) Declaration and Power of Attorney (3 pages);
- (3) Formal Drawings (6 pages);
- (4) Assignment of the invention to Capital One Financial Corporation (2 pages);
- (5) Separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) (1 page);
- (6) This Transmittal Form (2 pages) with attached patent application filing fee in the amount of \$1,170.00;
- (7) Nonpublication Request;
- (8) 1 page Certificate of Mailing by Express Mail; and
- (9) Acknowledgment Postcard.

Related Applications: This application claims the benefit of U.S. Provisional Application Serial Number 60/432,582, filed December 10, 2002.

ATTORNEY DOCKET NO.:
073897.0130

PATENT APPLICATION


2

Applicant is a large entity.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$ 750.00
Total Claims	34	- 20	14	X \$18 =	\$ 252.00
Independent Claims	5	- 3	2	X \$84 =	\$ 168.00
TOTAL FILING FEE =					\$ 1,170.00

Enclosed is a check in the amount of \$1,170.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.
Attorneys for Applicant


Jay B. Johnson
Registration No. 38,193

Date: 7/23/2003

Correspondence address:
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6431

Customer Number or Bar Code Label:

05073

ATTORNEY DOCKET NO
073897.0130

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Puneet (nmi) Bhatia
Filed: July 23, 2003
Title: *System and Method for Managing Fulfillment Data
Associated with a Fulfillment System*

MAIL STOP: PATENT APPLICATION

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application, Declaration and Power of Attorney, Fee Transmittal Sheet, Certificate of Mailing, 6 sheets of Formal Drawings, Assignment Cover Sheet and Assignment, Nonpublication Request, and checks in the amounts of \$1,170.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 23rd day of July 2003, and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



Willie Jiles

Express Mail Receipt
No. EV 323448926 US


Attorney Docket No:
073897.0130

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Puneet (nmi) Bhatia
	Title	System and Method for Managing Fulfillment Data Associated with a Fulfillment System
	Attorney Docket Number	073897.0130

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7/23/2003
Date


Signature

Jay B. Johnson
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.